

#### **Annex B**

Call For Proposals (CFP) to provide support to the internally displaced women and girls in Western Oblasts of Ukraine and develop capacity of the war affected women and women's CSOs to meaningfully participate in decision making and advocate for their needs

#### Section 1

CFP No. 2022-001 to provide support to the internally displaced women and girls in Western Oblasts of Ukraine and develop capacity of the war affected women and women's CSOs to meaningfully participate in decision making and advocate for their needs

## a. CFP Letter for Responsible Parties

UN Women plans to engage a <u>Responsible Party</u> as defined in accordance with these documents. UN Women now invites sealed proposals from qualified proponents to provide the requirements as defined in the UN Women Terms of Reference.

Proposals must be received by UN Women at the address specified not later than 23:00 (EEST) on 19 April 2022.

The budget range for this proposal should be up to USD 120,000.00 max

Thi	s UN Women Call For Proposals consists of <u>two</u> sections:	Documents to be completed by proponents and returned as part of their proposal (mandatory)
Sec	tion 1	
a.	CFP Letter for Responsible Parties	Annex B-1 Mandatory Requirements/Pre-Qualification
b.	Proposal Data Sheet for Responsible Parties	Criteria and Contractual Aspects
c.	UN Women Terms of Reference	
d.	Acceptance of the terms and conditions outlined in the template	
	Partner Agreement	
e.	Annex B-1 Mandatory Requirements/Pre-Qualification	
	Criteria and Contractual Aspects	
Sec	tion 2	
a.	Instructions to Proponents, which includes the following:	Annex B-2 Template for Proposal Submission
	Annex B-2 Template for Proposal Submission	Annex B-3 Format of Resume for Proposed Personnel
	Annex B-3 Format of Resume for Proposed Personnel	Annex B-4 Capacity Assessment Minimum Documents
	Annex B-4 Capacity Assessment Minimum Documents	
	Annex B-5 UN Women template Partner Agreement	
	Annex B-6 UN Women Anti-Fraud Policy	

Interested proponents may obtain further information by contacting this email address: <a href="mailto:irena.protsiv@unwomen.org">irena.protsiv@unwomen.org</a>

## b. Proposal Data Sheet for Responsible Parties

Program/Project: "Transformative Approaches to Achieving Gender Equality in Ukraine"	· ·					
Programme Officer's name:	Date: 15 April 2022	Time: 23:00				
Halyna Meshcheriakova, Programme Specialist						
Signature:	(Via e-mail)					
Stoff	Irena.protsiv@unwomen.org					
Email:	UN Women clarifications to proponer	nts due: [if				
Halyna.meshcheriakova@unwomen.org	applicable]					
Telephone number: +380504945218	Date: 18 April 2022 Time: 23:00					
	Proposal due:					
Erika Kvapilova, Country Representative, UN Women	Date: 19 April 2022 Time: 23					
Ukraine //						
Signature: Majner Dra		•				
7 7	Planned award date: 2 May 2					
Location: UN Women Ukraine	Planned contract start- 20 May 20					
Issue Date: 12 April 2022	date/delivery date (on or before):					



#### c. UN Women Terms of Reference

#### 1. Introduction

a. Background/context for required services/results

UN Women, grounded in the vision of equality enshrined in the Charter of the United Nations, works for the elimination of discrimination against women and girls; the empowerment of women; and the achievement of equality between women and men as partners and beneficiaries of development, human rights, humanitarian action and peace and security.

UN Women work in Ukraine focuses on supporting the government and civil society in advancing gender equality and implementation of the national and international commitments on gender equality and women's human rights. UN Women Ukraine implements interventions towards the realization of following strategic goals: elimination of violence against women and girls; implementation of women, peace and security agenda and gender responsive governance. UN Women works closely with the UN Country Team in integration of women's rights and gender equality in all spheres of UN work.

The project "Transformative approaches to achieving gender equality in Ukraine" aims at employing transformative approaches to the advancement of gender equality and women's empowerment, which we understand as breaking out with 'business-as-usual'; instead of focusing on barriers women face and empowering women through working with women, gender transformative approaches engage variety of agents of change. Transformative approaches aim at removing the root causes, structural barriers, and restrictive social norms, that underpin the persistence of gender inequalities in various areas of life. In our case transformative approach means engaging with the key institutions at the same time, that have significant impact on either reproduction of restrictive gender stereotypes or can help to break down these social norms and pave the way to greater gender equality and women's empowerment: the government, women's organizations, and the private sector.

Since the beginning of the Russian military invasion, Ukraine is rapidly emerging as one of the world's most complex emergencies with over 2.8 million refugees already fleeing the country and with steadily growing humanitarian needs. Women's groups and civil society organizations (CSOs) have been quick to react to the immediate priorities of their communities, but face many challenges as they attempt to provide support to large numbers of internally displaced people, host-communities and those directly affected by violence and insecurity. (UN Women Ukraine, March 2022, Rapid Assessment: Impact of the War in Ukraine on Women's Civil Society Organizations). To better understand the situation for women's CSOs, UN Women has conducted a rapid survey to help inform the decision-making of national and international stakeholders, and advocate for the support of civil society during the war.

CSOs in Ukraine have identified the following key areas for concern for women and girls:

- Immediate safety threats, such as lack of access to shelters.
- A lack of basic necessities, such as food, medicine, hygiene articles, accommodation, water, and access to power and connectivity.
- The loss of livelihood, including people's inability to work and earn an income.
- Psychological impact of war and constant fear is taking a heavy toll on people.
- Sexual and gender-based violence in public and private spaces is not being prevented or responded to adequately.
- Lack of communication, information, and social services, including lack of legal aid, gaps in childcare services and access to social services needed by vulnerable groups.
- Exclusion from planning and decision-making at all levels, and not having the opportunity to participate in the humanitarian response planning and peace / security efforts.

### b. General overview of services required/results

In this respect UN Women seeks for organization to provide support (including humanitarian, psychological, legal, informational and other support) to protect and empower the women and girls affected by the war and internally displaced to the Western oblasts of Ukraine, as well as provide capacity development support to the war affected women

and women's CSOs to meaningfully participate in decision making and advocate for their needs to be incorporated in the policies and programmes on the conflict resolution and humanitarian aid.

#### 2. Description of required services/results

The selected organization will work on the following tasks:

**Output 1.** Internally displaced women and girls in Western Oblasts of Ukraine are empowered and protected through humanitarian, psychological, informational, legal and other support

#### Activities:

- needs of the internally displaced women and girls in Western Oblasts of Ukraine assessed and monitored regularly, on monthly basis, to inform the action plan for provided support,
- action plan on the support to the internally displaced women and girls in Western Oblasts of Ukraine is developed, updated and implemented regularly. The action plan should include the following:
  - humanitarian aid organized and provided according to the needs of internally displaced women and girls and the agreed action plan,
  - o psychological support provided to the women and girls IDPs through individual and group consultations/sessions,
  - o information/communication materials developed to be shared on-line and off-line to the targeted groups of women and girls on a) about women's needs; b) prevention of the security risks, risks of the GBV, including conflict-related sexual violence, human trafficking, etc.; c) possibilities to get support, and opportunities of the internal displacement; d) employment opportunities, e) women's leadership, and success stories,
  - information and communication campaigns are organized on a) about women's needs; b) prevention of the security risks, risks of the GBV, including conflict-related sexual violence, human trafficking, etc.;
     c) possibilities to get support, including social services, childcare and healthcare services, and opportunities of the internal displacement; d) employment and income generation opportunities, e) women's leadership, and success stories,
  - informational sessions/consultations are organized to raise awareness of women IDPs on the of women and girls on the risks and opportunities of the internal displacement (about women's needs and possibilities to get support, women's leadership, support on displacement and employment opportunities, prevention of security risks and various types of violence)
  - legal advice provided to the women and girls IDPs through individual and group consultations/sessions,
  - o learning/informational sessions organised for economic empowerment of women IDPs,

**Outcome 2.** Internally displaced and other groups of the war affected women and women's CSOs have enhanced capacity to meaningfully participate in decision making and advocate for their needs to be incorporated in the policies and programmes on the conflict resolution and humanitarian aid

# Activities:

- conduct capacity development sessions for internally displaced and other groups of the war affected women
  and women's CSOs to strengthen their knowledge about the needs of women IDPs and other groups of the war
  affected women and girls and enhance advocacy skills to conduct dialogues with the governmental partners and
  to advocate for the needs of women
- based on the regular needs assessment and consultations with women and girls IDP and other groups of the
  war affected women to develop recommendations on their meaningful participation in decision making and
  advocating for their needs to be incorporated in the policies and programmes on the conflict resolution and
  humanitarian aid
- organize and facilitate dialogues with the governmental partners and multi-stakeholders' consultations to voice
  the needs of women IDPs and other groups of the war affected women and girls and advocate for their needs
  to be incorporated in the policies and programmes on the conflict resolution and humanitarian aid
- conduct advocacy and communication campaigns on the needs and leadership of the women IDPs and other
  groups of the war affected women and girls, and their meaningful participation in decision making on the
  conflict resolution and humanitarian support

To perform all the tasks in coordination with the Reginal Humanitarian Aid Coordination Offices, Focal Points of the UN Office of Coordination of Humanitarian Aid in the oblasts, and other women's CSOs working in the regions.

#### 3. Timeframe: Start date and end date for completion of required services/results

## May 2022 - July 2023

## 4. Competencies: [Please elaborate]

- a. Technical/functional competencies required
- The organization has a well-developed framework through which to deliver its vision and strategy and ensure
  effective business processes;
- Previous experience in conducting similar works/projects relevant to the scope and size of the current project;
- · Previous experience of work with different vulnerable groups on their capacity building and empowerment;
- Previous experience of organization of trainings on gender equality and women's empowerment and the rights of vulnerable groups;
- Previous experience in conducting gender/human rights advocacy and awareness raising campaigns at national, regional and local levels;
- Previous experience of work at the regional and local level and coordination of cooperation between different groups and organizations;
- Capacity to be fully operational and perform the TOR in the conditions of war in Ukraine;
- Reference letters on at least two relevant projects implemented in the past three years.
- b. Other competencies, which while not required, can be an asset for the performance of services
- Previous experience of development of training materials on gender equality and women's empowerment and women's rights;
- Previous experience of provisions of tailored services for vulnerable groups.

## d. Acceptance of the terms and conditions outlined in the template Partner Agreement

- Proponents must include an acceptance of the terms and conditions outlined in the template Partner Agreement or their reservation or objections thereto.
- Submission of any such reservations or objections does not mean that UN Women will automatically accept them should the proponent be selected as a Responsible Party.
- UN Women will evaluate any reservation or objection during its evaluation of the proposal and may accept or reject any such reservation or objection.

#### Annex B-1

# Mandatory Requirements/Pre-Qualification Criteria and Contractual Aspects [To be completed by proponents and returned with their proposal]

#### **Call For Proposals**

Description of Services: to provide support to the internally displaced women and girls in Western Oblasts of Ukraine and develop capacity of the war affected women and women's CSOs to meaningfully participate in decision making and advocate for their needs

CFP No. 2022-001

Proponents are requested to complete this form and return it as part of their submission. Proponents will receive a pass/fail rating on this section. To be considered, proponents must meet all the mandatory criteria described below. All questions should be answered on this form or an exact duplicate thereof. UN Women reserves the right to verify any information contained in a proponent's response or to request additional information after the proposal is received. Incomplete or inadequate responses, lack of response or misrepresentation in responding to any questions will result in disqualification.

Mai	ndatory requirements/pre-qualification criteria	Proponent's response
1.	Are the services being requested part of the key services that the proponent	Reference #1:
	has been performing as an organization? This must be supported by a list of at	Reference #2:
	least two customer references for which similar service has currently or has	
	been provided by the proponent.	
2.	Is the proponent duly registered or does it have the legal basis/mandate as an	Yes/No
	organization? [Please attach a copy of the official registration here].	
3.	Has the proponent as an organization been in operation for at least five (5)	Yes/No
	years <sup>1</sup> ?	
4.	Does the proponent have a permanent office within the location area?	Yes/No
5.	Can UN Women conduct a site visit at a customer location in the location or	Yes/No
	area with a similar scope of work as the one described in this CFP?	
6.	Fraud or other wrongdoing:  i. Has the proponent, its employees, personnel, sub-contractor or sub-contractor's sub-contractor or sub-partner or sub-partner's partner been the subject of a finding of fraud or any other wrongdoing following an investigation conducted by UN Women, another United Nations entity or otherwise?  OR	Yes/No
	ii. Is the proponent, its employees, personnel, sub-contractor or sub-contractor's sub-contractor or sub-partner or sub-partner's partner currently under investigation for fraud or any other wrongdoing by UN Women, another UN entity or otherwise?	
7.	Sexual exploitation and abuse:	Yes/No
	<ul> <li>i. Has the proponent, its employees, personnel, sub-contractor or sub- contractor's sub-contractor or sub-partner or sub-partner's partner been the subject of any investigations and/or been charged for any misconduct related to sexual exploitation and abuse (SEA)<sup>2</sup>?</li> <li>OR</li> </ul>	
	ii. Is the proponent, its employees, personnel, sub-contractor or sub-contractor's sub-contractor or sub-partner or sub-partner's partner currently under investigation for SEA by UN Women, another UN entity or otherwise?	
8.	Has the proponent or any of its employees or personnel been placed on any relevant sanctions list including as a minimum the Consolidated United Nations Security Council Sanctions List(s), United Nations Global Market Place Vendor ineligibility and any other donor sanction list that may be available for use, as applicable?	Yes/No

<sup>&</sup>lt;sup>1</sup> In exceptional circumstances, three (3) years of history registration may be accepted and it must be fully justified.

<sup>&</sup>lt;sup>2</sup> <u>Secretary General's Bulletin, 9 October 2003 on "Special measures for protection from sexual exploitation and sexual abuse"</u> <u>(ST/SGB/2003/13)</u>, and United Nations Protocol on Allegations of Sexual Exploitation and Abuse involving Implementing Partners.

9.	Has the proponent read and accepted the standards set out in section 3 of	Yes/No
	ST/SGB/2003/13 "Special measures for protection from sexual exploitation and sexual abuse"?	
10.	Does the proponent acknowledge that SEA is strictly prohibited, and that UN Women will apply a policy of "zero tolerance" in respect to SEA of anyone including the proponent's employees, agents, sub-partners and sub-contractors or any other persons engaged by the proponent to perform any services?	Yes/No
11.	Has the proponent reviewed and taken note of UN Women Anti-Fraud Policy (Annex B-6)?	Yes/No

# Please provide the following information:

1	1	Is the highest executive (e.g., Director, CEO, etc.) in the proponent organization a female?	Yes/No
2	2	What is the female to male ratio in the proponent's board?	

## Acceptance of the terms and conditions outlined in the template Partner Agreement.

- Proponents must include an acceptance of the terms and conditions outlined in the template Partner Agreement or their reservations or objections thereto.
- Submission of any such reservations or objections does not mean that UN Women will automatically accept them should the proponent be selected as a Responsible Party.
- UN Women will evaluate any reservation or objection during its evaluation of the proposal and may accept or reject any such reservation or objection.

Requirements	Proponent's response
Acceptance of the terms and conditions outlined in the template Partner Agreement.	Yes/No
Indicate any reservations or objections to the terms and conditions outlined in the	
template Partner Agreement.	

#### CFP No. 2022-001

#### a. Instructions to Proponents

#### 1. Introduction

- 1.1 UN Women invite qualified parties to submit Technical and Financial Proposals to provide services associated with the UN Women requirements for a Responsible Party.
- 1.2 UN Women is soliciting proposals from Civil Society Organizations (CSOs). Women's organizations or entities are highly encouraged to apply.
- 1.3 A description of the services required is described in CFP Section 1 c) "UN Women Terms of Reference".
- 1.4 UN Women may, at its discretion, cancel the services in part or in whole.
- 1.5 Proponents may withdraw the proposal after submission, provided that written notice of withdrawal is received by UN Women prior to the deadline prescribed for the submission of proposals. No proposal may be modified subsequent to the deadline for the submission of proposals. No proposal may be withdrawn in the interval between the deadline for submission of proposals and the expiration of the period of proposal validity.
- 1.6 All proposals shall remain valid and open for acceptance for a period of 90 calendar days after the date specified for receipt of proposals. A proposal valid for a shorter period may be rejected. In exceptional circumstances, UN Women may solicit the proponent's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing.
- 1.7 Effective with the release of this CFP, <u>all</u> communications must be directed only to UN Women, by email at <a href="mailto:irena.protsiv@unwomen.org">irena.protsiv@unwomen.org</a>; <a href="ukraine.general@unwomen.org">ukraine.general@unwomen.org</a>. Proponents must not communicate with any other personnel of UN Women regarding this CFP.

## 2. Cost of Proposal

2.1 The cost of preparing a proposal, attendance at any pre-proposal conference, meetings or oral presentations shall be borne by the proponent, regardless of the conduct or outcome of the CFP process. Proposals must offer the services for the total requirement. Proposals offering only part of the services will be rejected.

#### 3. Eligibility

3.1 Proponents must meet all mandatory requirements/pre-qualification criteria as set out in **Annex B-1**. See point 4 below for further explanation. Proponents will receive a pass/fail rating on this section. UN Women reserves the right to verify any information contained in proponent's response or to request additional information after the proposal is received. Incomplete or inadequate responses, lack of response or misrepresentation in responding to any questions will result in disqualification.

## 4. Mandatory/Pre-Qualification Criteria

- 4.1 The evaluation of technical and financial proposals by UN Women is conducted in two phases (see section 11 below) and the mandatory requirements/pre-qualification criteria have been designed to ensure that, to the degree possible in the initial stages of the CFP selection process, only those proponents with sufficient experience, financial strength and stability, demonstrable technical knowledge, evident capacity to satisfy UN Women requirements and superior customer references for supplying the services envisioned in this CFP will qualify for further consideration. UN Women reserves the right to verify any information contained in proponent's response or to request additional information after the proposal is received. Incomplete or inadequate responses, lack of response or misrepresentation in responding to any questions will result in disqualification.
- 4.2 Proponents will receive a pass/fail rating in the mandatory requirements/pre-qualification criteria section. In order to be considered for Phase I, proponents must meet all the mandatory requirements/pre-qualification criteria described in this CFP.

# 5. Clarification of CFP Documents

- 5.1 A prospective proponent requiring any clarification of the CFP documents may notify UN Women in writing at UN Women email address indicated in the CFP by the specified date and time. UN Women will respond in writing to any request for clarification of the CFP documents that it receives by the due date for requests for clarification as outlined in **Section 1b of this annex (on page 1)**.
- 5.2 Written copies of UN Women's responses to such inquiries (including an explanation of the query but without identifying the source of inquiry) will be posted using the same method as the original posting of this (CFP) document.
- 5.3 If the CFP has been advertised publicly, the results of any clarification exercise (including an explanation of the query but without identifying the source of inquiry) will be posted on the advertised source.

#### 6. Amendments to CFP Documents

6.1 At any time prior to the deadline for submission of proposals, UN Women may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective proponent, modify the CFP documents by

- amendment. All prospective proponents that have received the CFP documents will be notified in writing of all amendments to the CFP documents. For open competitions, all amendments will also be posted on the advertised source.
- 6.2 In order to afford prospective proponents reasonable time in which to take the amendment into account in preparing their proposals, UN Women may, at its discretion, extend the deadline for the submission of proposal.

#### 7. Language of Proposals

- 7.1 The proposal prepared by the proponent and all correspondence and documents relating to the proposal exchanged between the proponent and UN Women, shall be written in English.
- 7.2 Supporting documents and printed literature furnished by the proponent may be in another language provided they are accompanied by an appropriate translation of all relevant passages in English. In any such case, for interpretation of the proposal, the English translation shall prevail. The sole responsibility for translation and the accuracy thereof shall rest with the proponent.

## 8. Submission of Proposals

- 8.1 Technical and financial proposals should be submitted as part of the template for proposal submission (Annex B2) in one email with the CFP reference and the clear description of the proposal by the date and time stipulated in this document. If the emails and email attachments are not marked as instructed, UN Women will assume no responsibility for the misplacement or premature opening of the proposals submitted. The email text body should indicate the name and address of the proponent. All proposals should be sent by email to the following secure email address: ukraine.general@unwomen.org.
- 8.2 Proposals should be received by the date, time and means of submission stipulated in this CFP. Proponents are responsible for ensuring that UN Women receives their proposal by the due date and time. Proposals received by UN Women after the due date and time will be rejected.
- 8.3 When receiving proposals by email (as is required for the CFP), the receipt time stamp shall be the date and time when the submission has been received in the dedicated UN Women inbox. UN Women shall not be responsible for any delays caused by network problems, etc. It is the sole responsibility of proponents to ensure that their proposal is received by UN Women in the dedicated inbox on or before the prescribed CFP deadline.
- 8.4 **Late proposals:** Any proposals received by UN Women after the deadline for submission of proposals prescribed in this document, will be rejected.

## 9. Clarification of Proposals

9.1 To assist in the examination, evaluation and comparison of proposals, UN Women may, at its discretion, ask the proponent for a clarification of its proposal. The request for clarification and the response shall be in writing and no change in the price or substance of the proposal shall be sought, offered or permitted. UN Women will review minor informalities, errors, clerical mistakes, apparent errors in price and missing documents.

## 10. Proposal Currencies

- 10.1 All prices shall be quoted in (local currency) **UAH**.
- 10.2 UN Women reserves the right to reject any proposals submitted in a currency other than the mandatory currency for the proposal stated above. UN Women may accept proposals submitted in another currency than stated above if the proponent confirms during clarification of proposals, see item (9) above in writing, that it will accept a contract issued in the mandatory proposal currency and that for the purposes of conversion, the official United Nations operational rate of exchange of the day of CFP deadline (as stated in the CFP letter) shall apply.
- 10.3 Regardless of the currency stated in proposals received, the contract will always be issued and subsequent payments will be made in the mandatory currency for the proposal (as stated above).

## 11. Evaluation of Technical and Financial Proposals

#### 11.1 PHASE I – TECHNICAL PROPOSAL (70 points)

Only proponents meeting the mandatory criteria will advance to the technical evaluation in which a maximum possible 70 points may be determined. Technical evaluators who are members of an Evaluation Committee appointed by UN Women will carry out the technical evaluation applying the evaluation criteria and point ratings as listed below. In order to advance beyond Phase I of the detailed evaluation process to Phase II (financial evaluation) a proposal must have achieved a minimum cumulative technical score of 50 points.

## Suggested table for evaluating technical proposal

1	The proposal is compliant with the CFP requirements	15 points
2	The organization's mandate is relevant to the work to be undertaken in the UN Women Terms of Reference (component 1)	20 points
3	The proposal demonstrates a sound understanding of the requirements of the UN Women Terms of Reference and indicates that the organization has the prerequisite capacity to undertake the work successfully (components 2, 3, 4 and 5)	35 points

TOTAL 70 points

#### 11.2 PHASE II - FINANCIAL PROPOSAL (30 points)

Financial proposals will be evaluated (using **component 6**) following completion of the technical evaluation. The proponent with the lowest evaluated cost will be awarded 30 points. Other financial proposals will receive pro-rated points based on the relationship of the proponents' prices to that of the lowest evaluated cost.

Formula for computing points: Points = (A/B) Financial Points

Example: Proponent A's price is the lowest at \$10.00. Proponent A receives 30 points. Proponent B's price is \$20.00. Proponent B receives \$10.00, \$20.00, \$1

#### 12. Preparation of Proposals

- 12.1 Proponents are expected to examine all terms and instructions included in the CFP documents. Failure to provide all requested information will be at the proponent's own risk and may result in rejection of the proponent's proposal.
- 12.2 The proponent's proposal must be organized to follow the format of this CFP. Each proponent must respond to every stated request or requirement and indicate that the proponent understands and confirms acceptance of UN Women's stated requirements. The proponent should identify any substantive assumption made in preparing its proposal. The deferral of a response to a question or issue to the contract negotiation stage is not acceptable. Any item not specifically addressed in the proponent's proposal will be deemed as accepted by the proponent. The terms "proponent" and "contractor" refer to those organizations that submit a proposal pursuant to this CFP.
- 12.3 Where the proponent is presented with a requirement or asked to use a specific approach, the proponent must not only state its acceptance, but also describe, where appropriate, how it intends to comply. Failure to provide an answer to an item will be considered an acceptance of the item. Where a descriptive response is requested, failure to provide one will be viewed as non-responsive.
- 12.4 The terms of reference in this document provides a general overview of the current operation. If the proponent wishes to propose alternatives or equivalents, the proponent must demonstrate that any such proposed change is equivalent or superior to UN Women established requirements. Acceptance of such changes is at the sole discretion of UN Women.
- 12.5 Proposals must offer services for the total requirement, unless otherwise permitted in the CFP document. Proposals offering only part of the services will be rejected unless permitted otherwise in the CFP document.
- 12.6 Proponents may use the services of sub-contractors or sub-partners to partially perform the work except if the proponent is providing grant-making work. The proponent's Technical Proposal shall indicate clearly if the proponent is intending to use sub-contractors or sub-partners and their names. If it is not possible to include the names of sub-partners and sub-contractors in the proposal, the names must be submitted to UN Women as soon as possible.
- 12.7 The proponent's proposal shall state the following and include all of the following labelled annexes:

**CFP submission** (on or before proposal due date):

As a minimum, proponents shall complete and return the below listed documents (annexes to this CFP) **as an integral part of their proposal**. Proponents may add additional documentation to their proposals as they deem appropriate.

Failure to complete and return the below listed documents as part of the proposal may result in proposal rejection.

Part of proposal	Annex B-1 Mandatory Requirements/Pre-Qualification Criteria and Contractual Aspects	
Part of proposal Annex B-2 Template for Proposal Submission		
Part of proposal	Annex B-3 Format of Resume for Proposed Personnel	
Part of proposal	Annex B-4 Capacity Assessment Minimum Documents	

If after assessing this opportunity you have made the determination not to submit your proposal, we would appreciate it if you could return this form indicating your reasons for non-participation.

#### 13 Format and Signing of Proposals

- 13.1 The proposal shall be typed or written in indelible ink and shall be signed by the proponent or a person or persons duly authorized to bind the proponent to the contract. The latter authorization shall be indicated by written power-of-attorney accompanying the proposal.
- 13.2 A proposal shall contain no interlineations, erasures, or overwriting except as necessary to correct errors made by the proponent, in which case such corrections shall be initialled by the person or persons signing the proposal.

#### 14 Award

14.1 Award will be made to the responsible and responsive proponent with the highest evaluated proposal following negotiation of an acceptable contract. UN Women reserves the right to conduct negotiations with the proponent regarding the contents of their proposal. The award will be in effect only after acceptance by the selected proponent of the terms and conditions of the agreement and the terms of reference. The agreement will reflect the name of the

- **proponent whose financials were provided in response to this CFP**. Upon execution of agreement UN Women will promptly notify the unsuccessful proponents.
- 14.2 The selected proponent is expected to commence providing services as of the date and time stipulated in this CFP.
- 14.3 The award will be for an agreement with an original term of <u>1 year and 2 months</u> with the option to renew under the same terms and conditions for an additional period or periods as indicated by UN Women.

# Annex B-2 Template for Proposal Submission

# **Call For Proposals**

Description of Services: to provide support to the internally displaced women and girls in Western Oblasts of Ukraine and develop capacity of the war affected women and women's CSOs to meaningfully participate in decision making and advocate for their needs

CFP No. 2022-001

<u>Proponents are requested to complete this form (Annex B-2) and return it as part of their submission.</u>

Pro	ponent's Eligibility Confirmation and Information	Proponent's Response
1	What year was the organization established?	
2	In what province/state/country has the organization been established?	
3	Has the organization ever been adjudged bankrupt, or been liquidated, or been insolvent, or applied for a moratorium or stay on any payment or repayment obligations, or applied to be declared insolvent? (If YES, explain in detail the reasons why, filing date, and current status.)	Yes/No
4	Has the organization ever been terminated for non-performance on a contract? If YES, describe in detail.	Yes/No
5	Has the organization or any of its employees and personnel ever been:  a. suspended or debarred by any government, a UN agency or other international organization;  b. placed on any relevant sanctions list including the - https://www.un.org/sc/suborg/en/sanctions/un-sc-consolidated-list, United Nations Global Market Place Vendor ineligibility or any other Donor Sanction List; and/or  c. been the subject of an adverse judgment or award?  If YES, provide details, including date of reinstatement, if applicable.  (If proponent is currently on any relevant sanctions list this should be disclosed in Question 8 of the Mandatory Requirements/Pre-Qualification Criteria above and is grounds for immediate rejection.)	Confirm Yes/No
6	It is UN Women policy to require that proponents and their sub-contractors and sub-partners observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by a proponent, a sub-contractor or a sub-partner to influence the selection process or contract execution for undue advantage is improper. The proponent must confirm that it has reviewed and taken note of UN Women Anti-Fraud Policy (Annex B-6). The proponent must also confirm that the proponent and its sub-contractors and sub-partners have not engaged in any conduct contrary to that policy including in competing for this CFP.	Confirm Yes/No
7	Officials not to benefit: The proponent must confirm that no official of UN Women has received or will be offered any direct or indirect benefit arising from this CFP or any resulting contracts by the proponent or its sub-contractors or its sub-partners.	Confirm Yes/No
8	The proponent must confirm that the proponent is not engaged in any activity that would put it, if selected for this assignment, in a conflict of interest with UN Women.	Confirm Yes/No
9	The proponent must confirm that the proponent, its sub-partners or sub-contractors have not been associated, or involved in any way, directly or indirectly, with the preparation of the design, terms of references and/or other documents used as a part of this CFP.	Confirm Yes/No
10	UN Women policy restricts organizations from participating in a CFP or receiving UN Women contracts if a UN Women personnel or their immediate family are an owner, officer, partner or board member or in which the personnel or their immediate family has a financial interest in the organization. The proponent must confirm that no UN Women personnel or their immediate family are an owner, officer, partner or board member or have a financial interest in either the proponent, or its sub-partners or its sub-contractors.	Confirm Yes/No

Component 1: Organizational Background and Capacity to implement activities to achieve planned results (max 1.5 pages)

This section should provide an overview (with relevant annexes) that clearly demonstrate that the proponent has the capacity and commitment to implement the proposed activities and produce results successfully. Key elements to be covered in this section include:

- 1. the nature of the proponent whether it is a community-based organization, national or sub-national NGO, research or training institution, etc.;
- 2. the overall mission, purpose, and core programmes/services of the organization;
- 3. the organization's target population groups (women, indigenous peoples, youth, etc.);
- 4. the organizational approach (philosophy) how the organization delivers its projects (e.g., gender-sensitive, rights-based, etc.);
- 5. the organization's length of existence and relevant experience;
- 6. an overview of the organization's capacity relevant to the proposed engagement with UN Women (e.g., technical, governance and management, and financial and administrative management);
- 7. details of the following relating to prevention of SEA:
  - a. describe what measures are in place to prevent SEA;
  - b. describe reporting and monitoring mechanisms and procedures;
  - c. describe what capacity exists to investigate SEA allegations;
  - d. describe past allegations of SEA, if any, and how they were handled, including the outcome;
  - e. describe what SEA training the people (employees or otherwise) who will perform the services have completed; and
  - f. describe what reference and background checks have been done for employees and associated personnel.
- 8. details relating to grant-making work, if applicable:
  - describe the proponent's institutional capacity to manage grants, including appropriate grant award management, system/framework for undertaking grant proposal evaluation, due diligence and, appropriate governance and risk management (including composition and terms of reference of the independent designated steering committee or grant selection committee);
  - b. describe relevant history in managing resources through grant awards;
  - c. describe the proponent's grant portfolio;
  - d. describe relevant history in working with small organizations including experience in providing technical assistance;
  - e. describe the proponent's programmatic capacity, including monitoring and evaluation capacity; and
  - f. describe the proponent's capacity to assess and manage risks.

## Component 2: Expected Results and Indicators (max 1.5 pages)

This section should articulate the proponent's understanding of the UN Women Terms of Reference (TOR). It should contain a clear and specific statement of what the proposal will accomplish in relation to the UN Women Terms of Reference. This should include:

- 1. The **problem statement** or challenges to be addressed given the context described in the UN Women Terms of Reference.
- 2. The specific **results** expected (e.g., outputs) through engagement of the proponent. The expected results are the measurable changes which will have occurred by the end of the planned intervention. Propose specific and measurable indicators which will form the basis for monitoring and evaluation. These indicators will be refined, and will form an important part of the agreement between the proponent and UN Women.

## Component 3: Description of the Technical Approach and Activities (max 2.5 pages)

This section should describe the technical approach and should be able to show the soundness and adequacy of the proposed approach, what will actually be done to produce the expected results in terms of activities. There should be a clear and direct linkage between the activities and the results at least at the output level. Specific strategies should also be described to support the achievement of results, such as building partnerships, etc.

Activity descriptions should be as specific as necessary, identifying **what** will be done, **who** will do it, **when** it will be done (beginning, duration, completion), and **where** it will be done. In describing the activities, an indication should be made regarding the organizations and individuals involved in or benefiting from the activity.

This narrative is to be complemented by a tabular presentation that will serve as Implementation Plan, as described in Component 4.

This section should also include the details of all proposed sub-contracting and sub-partnering.

## Component 4: Implementation Plan (max 1.5 pages)

This section is presented in tabular form and can be attached as an annex. It should indicate the **sequence of all major activities and timeframe (duration).** Provide as much detail as necessary. The Implementation Plan should show a logical flow of activities. Please include all required milestone reports and monitoring reviews in the Implementation Plan.

#### Implementation Plan

Project No:		Project Nam	ie:											
Name of proponer	nt organization:													
Brief description of	f project													
Project start and e														
•	ption of specific results (e.g., outputs) sponding indicators, baselines and peat for each result.													
List the activities necessary to produce the results and indicate who is responsible for each activity														
Activity	Responsible		1	2	3	4	5	6	7	8	9	10	11	12
1.1														
1.2														
1.3														
1.4														

## Monitoring and Evaluation Plan (max. 1 page)

This section should contain an explanation of the plan for monitoring and evaluating the activities, both during its implementation (formative) and at completion (summative). Key elements to be included are:

- how the performance of the activities will be tracked in terms of achievement of the steps and milestones set forth in the Implementation Plan;
- how any mid-course correction and adjustment of the design and plans will be facilitated on the basis of feedback received; and
- how the participation of community members in the monitoring and evaluation processes will be achieved.

### Component 5: Risks to Successful Implementation (1 page)

Identify and list any major risk factors that could result in the activities not producing the expected results. These should include both internal factors (for example, the technology involved fails to work as projected) and external factors (for example, significant currency fluctuations resulting into changes in the economics of the activity, risk of sub-contactors or sub-partners not performing). Describe how such risks are to be mitigated.

In this section also include the key **assumptions** on which the activity plan is based on. In this case, the assumptions are mostly related to external factors (for example, the assumption that the relevant government's environmental policy will remain stable) which are anticipated in planning the activity, and on which the feasibility of the activities depend.

Please attach a risk register to capture the above risk factors and risk mitigation measures.

## Component 6: Results-Based Budget (max. 1.5 pages)

The development and management of a realistic budget is an important part of developing and implementing successful activities. Careful attention to issues of financial management and integrity will enhance the effectiveness and impact of activities. The following important principles should be kept in mind in preparing a project budget:

- Include costs which relate to efficiently carrying out the activities and producing the results which are set forth in the proposal. Other associated costs should be funded from other sources.
- The budget should be realistic. Find out what planned activities will actually cost, and do not assume that they would cost less.
- The budget should include all costs associated with managing and administering the activity or results, particularly the cost of monitoring and evaluation.
- The budget could include "support costs", being those indirect costs that are incurred to operate the Responsible Party as a whole or a segment thereof and that cannot be easily connected or traced to implementation of the work, i.e., operating expenses, over-head costs and general costs connected to the normal functioning of an organization/business (such as cost for support staff, office space and equipment that are not direct costs).
- "Support cost rate" means the flat rate at which the Responsible Party will be reimbursed by UN Women for its support costs, as set forth in the Partner Project Document and not exceeding a rate of 8% or the rate set forth in the Donor Specific Conditions, if that is lower. The flat rate is calculated on the eligible direct costs.
- The budget line items are general categories intended to assist in thinking through where money will be spent. If a planned expenditure does not appear to fit in any of the standard line-item categories, list the item under other costs, and state what the money is to be used for.
- The figures contained in the budget sheet should agree with those on the proposal header and text.
- Depending on the results to be delivered, following suggestive thresholds could be followed for costs:
  - maximum for personnel related costs on a proposal 20% of programming costs;
  - between 3-5% for audits (to be retained by UN Women for Responsible Party audits) (may change as per the annual audit cost);
  - 3% for monitoring and evaluation; and

Result 1 (e.g., Output) Repeat this table for each result<sup>3</sup>.

up to 8% (or as per relevant donor agreement) – support costs including (utilities, rent etc.).

Expenditure Category	currency]	If applicable	currency]	(US\$)	Total
1. Personnel					
2. Equipment/Materials					
3. Training/Seminars/Travel					
Workshops					
4. Contracts					
5. Other costs 4					
6. Incidentals					
7. Other support requested					
8. Support costs (not to exceed					
8% or the relevant donor					
percentage)					
Total Cost for Result 1					
I, (Name) certify the signing this proposal for and on be contained herein is accurate and tr	half of (Name of	Organization)	, I am	certifying	that all informatio
I, by signing this proposal, commit package and respecting the terms					
			(Seal)		
(Signature)					

<sup>&</sup>lt;sup>3</sup> If the budget is for grant-making activities, add a field for grants. For grant-making, (i) only up to 50% of the Partner proposal amount may be used to fund grants, (ii) not more than 25% of the Partner Agreement value can be issued per individual grant.

<sup>&</sup>lt;sup>4</sup> "Other costs" refers to any other costs that is not listed in the results-based budget. Please specify what they are in the footnote.

(Printed Name and Title)

(Date)

# Annex B-3 Format of Resume for Proposed Personnel

## **Call For Proposals**

Description of Services: to provide support to the internally displaced women and girls in Western Oblasts of Ukraine and develop capacity of the war affected women and women's CSOs to meaningfully participate in decision making and advocate for their needs

CFP No. 2022-001

Name of personnel:		
Title:		
Years with CSO:	Nationality:	

## **Education/Qualifications**:

Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees-professional qualifications obtained.

## **Employment Record/Experience**

Starting with present position, list in reverse order, every employment held:

- For <u>all</u> positions held by personnel member since graduation: List each position and provide dates, names of employing organization, title of position held and location of employment.
- For experience in <u>last five years</u>: Detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.

## References

Provide names and addresses for two (2) references.

## Annex B-4

# **Capacity Assessment Minimum Documents**

[To be submitted by proponents and assessed by the reviewer]

# **Call For Proposals**

Description of Services: to provide support to the internally displaced women and girls in Western Oblasts of Ukraine and develop capacity of the war affected women and women's CSOs to meaningfully participate in decision making and advocate for their needs

CFP No. 2022-001

Document	Mandatory / Optional
Governance, Management and Technical	
Organization's legal registration documentation	Mandatory
Rules of governance of the organization	Mandatory
Organigram of the organization	Mandatory
List of key management at organization	Mandatory
CVs of key personnel of organization who are proposed for the engagement with	Mandatory
UN Women  Details of organization's anti-fraud policy framework (which shall be consistent with UN Women's anti-fraud policy)	Mandatory
Details of organization's PSEA policy framework	Optional
Documentation evidencing training offered by organization to its employees and associated personnel on prevention and response to SEA.	Mandatory
Organization's policy and procedure documents in respect to grant-making (if grant-making activities are included in the UN Women Terms of Reference of the CFP)	Mandatory
Organization's policy and procedure for selecting partners (if sub-partner/s are going to be used)	Mandatory
Administration and Finance	
Administrative and financial rules of the organization	Mandatory
Details of the organization's internal control framework	Mandatory
Audited statements of the organization during last 3 years	Mandatory
List of banks with which organizational bank accounts are held	Mandatory
Name of external auditors of organization	Optional
Procurement	
Organization's procurement policy/manual	Mandatory
Templates of the solicitation documents for procurement of goods/services (e.g., request for quotation (FRQ), request for proposal (RFP) etc.) used by organization	Mandatory
List of main suppliers/vendors of organization and copies of their contract(s) including evidence of their selection processes	Mandatory
Client Relationship	•
List of main clients/donors of organization	Mandatory
Two references for organization	Mandatory
Past reports to clients/donors of organization for last 3 years	Mandatory